## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of JUMA

Title FILTER REINFORCED FILTER FOR MOLTEN METAL FILTRATION

Serial Number 10/516,443

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Art Unit 1797

Examiner Kim, John

Attorney Docket No. 1489

To: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## DECLARATION UNDER 37 C.F.R. § 1.132

Dear Sir:

- I, David A. Norris, hereby swear and state that:
- I have 18 years experience in the production of ceramic filters for molten metal filtration.
- I received B.S. and M.S. degrees in Ceramic Engineering from the New York State College of Ceramics at Alfred University, which is located in Alfred, New York.
  - 4. 1 am very familiar with refractory filters as used in the casting of molten metals.
- I have carried out and supervised numerous experimental and commercial trials concerning refractory filters.
- 1 have reviewed the contents of U.S. Patent Application No. 10/516,443 ("the '443 application"), which was published as U.S. Patent Publication No. 2005/0229746.
- I have reviewed U.S. Patent 7,138,084 ("the '084 patent") issuing from U.S. Patent
   Application No. 10/362,751, and U.S. Patent Publication No. 2007/0090047 ("the '047
   publication") of U.S. Patent Application No. 11/584,002.



- 8. I have obtained and reviewed results of comparative testing of samples of the product claimed in the '084 patent and the '047 publication with the product of the present invention.
- Graphitizable carbon may be used as a bonding material or binder in the formation of a filter for molten metal filtration only if it is fired in a non-oxidizing atmosphere.
- 10. The claims in the '084 patent do not specify the type of carbon present in the filter. Their undue breadth includes the diamond form of carbon. The examples teach only the use of RAUXOLIT binder in the process by which the product is formed.
- 11. High melting pitch serves as the binder in the present invention. High melting pitch contains graphitizable carbon.
- 12. The graphitizable carbon filters of the present invention display chemical and physical properties that differ from those of the glassy carbon filters of the '084 patent and the '047 publication. Thermogravimetric analyses of the filters show that the carbon phases burn off at a lower temperature for the '084 and '047 product, indicating a higher proportion of glassy phase than in the product of the present invention. The possibility of obtaining the product of the present invention with its distinguishable thermal properties is not made apparent in the '084 patent or the '047 publication.
- 13. A good and usable filter which is produced from graphitizable carbon may contain less than 15% carbon while those bonded by glassy carbon have to contain greater than 25% and even up to 50%. Consequently, filters produced from graphitizable carbon are stronger and less susceptible to oxidation during use then those bonded with glassy carbon bonding. Greater weight loss is expected for glassy carbon filters than for graphitizable carbon filters in the pyrolysis process. The expected high shrinkage rate during processing of glassy carbon filters would make it very difficult to make large filters. Consequently, large glassy carbon bonded filters are not commercially available as is seen in Stelex Pro Literature. Filters up to 300mm have been made from graphitizable carbon according to the accompanying production scheme.
- 14. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made a punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-referenced application or any patent issuing thereon.



| Date: | 11/17/4 | Earl 6 Am       |
|-------|---------|-----------------|
|       |         | David A. Norris |

4,1



Date: October 2004

Filtration Product Data Sheet

# STELEX\* PrO

ceramic foam filters for the production of carbon steel and lowalloy steel castings

## Product Description

STELEX\* PrO ceramic foam filters are made of a carbon-aluminium oxide ceramic. The special physical properties of this ceramic material are low thermal mass, very good hot strength, low refractoriness under load, low thermal expansion and excellent thermal shock resistance.

STELEX\* PrO filters are resistant to all usual slags and deoxidising agents. They have been designed primarily for the filtration of carbon steel and low-alloy steel castings with a carbon content greater than 0.15% and can be used in all conventional moulding and casting processes.

#### Properties

STELEX\* Pro ceramic foam filters enable low turbulence mould filling, thereby reducing the risk of reoxidisation defects and moulding sand erosion problems. The open, porous structure of STELEX\* Pro Combined with its large surface area enable a highly efficient removal of oxides lags, refractory material and moulding sand from the molten steel. Even the most finely dispersed inclusions can be efficiently removed either on the surface or within the body of the filter.

# **Product Application**

The highest filtration efficiency is achieved when STELEX\* PrO filters are located in the mould, as close to the casting cavity as possible. In sand moulds, square filters are built into the running system using special filter print designs specifically developed by FOSECO for this purpose.

Round filters are more commonly used in KALPUR\* direct pour applications where they are located inside an insulating or exothermic feeder sleeve, with optimum feed characteristics being achieved when the filter is allowed to float after pouring.

The size of the filter to be used is based on the filter area required. This is primarily determined by the weight of steel to be filtered although other important factors to be taken into consideration include deoxidation practice, pouring temperature and moulding process.

As the ceramic material of STELEX\* PrO has excellent priming properties it is not necessary to excessively superheat the melt, as is the case with other steel filter tynes.

The maximum recommended pouring temperature is 1680°C.

Foseco (FS) Limited, Tamworth, Staffordshire, B78 3TL Tel: +44 (0)1827 289999 · Fax +44 (0)1827 250806 · www.foseco.co.uk Filter Sizes Available and Packing Units STELEX\* PrO filters are normally supplied in the standard porosity of 10 ppi (average number of pores per linear inch). The lable below details the standard sizes and packing units currently available.

|                | Maximum Filtr<br>[kg/F                   |   |            |  |
|----------------|--|---|------------|--|
| Filter Size    | High Level of<br>Deoxidation<br>Products | Low Level of<br>Deoxidation<br>Products | Pieces/Box |  |
| 50 x 50 x 20   | 55                                       | 70                                      | 336        |  |
| 55 x 55 x 25   | 55                                       | 90                                      | 288        |  |
| 75 x 75 x 25   | 110                                      | 165                                     | 144        |  |
| 100 x 100 x 25 | 195                                      | 290                                     | 90         |  |
| 125 x 125 x 30 | 300                                      | 460                                     | 40         |  |
| 150 x 150 x 30 | 440                                      | 660                                     | 30         |  |
| Ø 50 x 20      | 40                                       | 60                                      | 336        |  |
| Ø 50 x 25      | 40                                       | 60                                      | 288        |  |
| Ø 60 x 25      | 55                                       | 80                                      | 210        |  |
| Ø 70 x 25      | 75                                       | 110                                     | 144        |  |
| Ø 75 x 25      | 85                                       | 130                                     | 144        |  |
| Ø 80 x 25      | 100                                      | 145                                     | 90         |  |
| Ø 90 x 25      | 125                                      | 185                                     | 90         |  |
| Ø 100 x 25     | 155                                      | 225                                     | 90         |  |
| Ø 125 x 30     | 240                                      | 360                                     | 40         |  |
| Ø 150 x 30     | 345                                      | 520                                     | 30         |  |
| Ø 200 x 35     | 610                                      | 910                                     | 12         |  |

Special non-standard filter types may be available on request.

## Typical Capacities

The table also shows the recommended capacities per filter size based on 1.95 kg/cm $^2$  for a high level of deoxidisation products and 2.90 kg/cm $^2$  for a low level of deoxidisation products.

Other factors which can reduce filter capacity:

- deoxidisation with zirconium containing materials
- molten metal containing large quantities of inclusion materials
- low metal pouring temperatures
- low ferrostatic pressure on the filter

The capacity values given in the table above are based on practical experience in real foundry applications, they are intended as a guideline only to assist in the selection of the appropriate size and number of filters required and do not represent a product specification.

## Further Information

STELEX PrO\* ceramic filters may fail if the FOSECO recommended filter print is not used, or the recommended filter capacity is exceeded, or if maximum and minimum pouring temperatures are ignored.

FOSECO will not be held responsible for any damage, including damage to the filter, arising from such incorrect use.

# Storage

STELEX\* PrO filters should always be stored under dry conditions.

## Health and Safety

See Material Safety Data Sheet, copies of which are available on request.

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<sup>\*</sup> Foseco, its logo, STELEX and KALPUR are trade marks of the Foseco Group of Companies



Date -

Quantity - 34

Company -Vesuvius UK

PO# 61209341SG
Part - 300mmODx50mmTh
Composition - CB ppi -10\*

/ESUVIUS Hi-Tech Ceramics **Process Data Sheet** 

# cut - 47

Quantity to Cut - 40 Foam Cut Instructions -

Taper -

293.5mmODx49mmTh Green Cut/Additional Instructions -

NA

RS- 3 Target Density - 0.50 Shrinkage - 0.999

| Cutting Person | 30 | 3/4 | Time |
|----------------|----|-----|------|
|                |    | 7   |      |
|                |    |     |      |
|                |    |     |      |

| Process   | Desired<br>Viscosity | Desired<br>Wet Weights      |
|-----------|----------------------|-----------------------------|
| 1st Dip   | See QC004            | 846±22                      |
| 2nd Dip   | See QC004            | 1522±40 (RL 1442-1682)      |
| 3rd Dip   | NA                   |                             |
| 4th Dip   | NA                   |                             |
| Spray     | See QC004            | 1861±50 *For Reference Only |
| Edge Coat |                      |                             |
| Machanat  |                      |                             |

"Use Retainers #1-#4

\* USE RETAINERS # 1W-#4LS 6/7/04 xw3

Foam Quality Approval Pore Size Approved by: Dimensions Approved by:

| Pre Firing Size: |              |              | Post Firing            |           |
|------------------|--------------|--------------|------------------------|-----------|
| Firing Date      | Furnace Used | Number Fired | Part Size              | Part Wt.  |
|                  |              |              | Spec. •                | Spec. L   |
| 1 -1             |              |              | OD:+0/-4mm;TH:+/-1 5mm |           |
| 9/10             | Δ            | $\sim$ 11    |                        | 1438-1945 |
| 1/3              | $\cap$       | 1 24         | 297.9× 50.1            | 14575     |
|                  |              |              | SHINIX JU.             | 1757.0    |

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Date Code -

CX0170COA

PR007.02

